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13049 U.S. PTO

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PTO/SB/05 (03/01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket Number ELAN-01114US1	
		Inventor Alexander Fairgrieve	
		Title Adjustable Power Control for Laser Drivers and Laser Diodes	
		Express Mail Label No. EV 327 619 215 US	
APPLICATION ELEMENTS See MPEP Chapter 600 concerning Utility Patent Application Contents		ADDRESS TO: Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/17) (in duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status (See 37 CFR 1.27)		8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification Total Pages <u>17</u> (preferred arrangement set forth below) <ul style="list-style-type: none"><input type="checkbox"/> Descriptive Title of the invention<input type="checkbox"/> Cross Reference to Related Applications<input type="checkbox"/> Statement Regarding Fed Sponsored R & D<input type="checkbox"/> Reference to Sequence Listing, a table or computer program listing Appendix<input type="checkbox"/> Background of the Invention<input type="checkbox"/> Brief Summary of the Invention<input type="checkbox"/> Brief Description of the Drawing(s) (if filed)<input type="checkbox"/> Detailed Description<input type="checkbox"/> Claim(s) <u>6</u><input type="checkbox"/> Abstract of the Disclosure		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statements verifying identity of above	
4. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) Total Sheets <u>4</u>		ACCOMPANYING APPLICATION PARTS	
5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <u>1</u> <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly Executedb. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)		9. <input checked="" type="checkbox"/> Assignment Papers (Cover Sheet & Document(s)) <u>3</u> Total Pages	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 <u>3</u> Total Pages		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)	
		11. <input type="checkbox"/> English Translation Document (if applicable)	
		12. <input type="checkbox"/> Information Disclosure Statement <u> </u> IDS ((IDS)/PTO-1449) <u> </u> Total Pages Citations	
		13. <input type="checkbox"/> Preliminary Amendment <u> </u> Total Pages	
		14. <input checked="" type="checkbox"/> Return Postcard, specifically itemized (MPEP 503)	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
		16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)B(i). Applicant must attach form PTO/SB/35 or its equivalent.	
		17. <input type="checkbox"/> Other	
18. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u> </u> Prior application information: Examiner: <u> </u> Group/Art Unit: <u> </u> For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number 23910 or <input type="checkbox"/> Correspondence Address below			
NAME		Fliesler Dubb Meyer & Lovejoy LLP	
ADDRESS		Four Embarcadero Center, Fourth Floor	
CITY		San Francisco	STATE CA ZIP CODE 94111
COUNTRY USA		TELEPHONE 415/362-3800	FAX 415/362-2928
Name (Print/Type)		Jeffrey R. Kurin	Registration No. (Attorney/Agent) 41,132
Signature			Date February 4, 2004

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2003 FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1,104.00)	Complete if Known												
	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Application Number</td> <td>New Application</td> </tr> <tr> <td>Filing Date</td> <td>February 4, 2004</td> </tr> <tr> <td>Inventor</td> <td>Alexander Fairgrieve</td> </tr> <tr> <td>Group Art Unit</td> <td>New Application</td> </tr> <tr> <td>Examiner Name</td> <td>New Application</td> </tr> <tr> <td>Attorney Docket Number</td> <td>ELAN-01114US1</td> </tr> </table>	Application Number	New Application	Filing Date	February 4, 2004	Inventor	Alexander Fairgrieve	Group Art Unit	New Application	Examiner Name	New Application	Attorney Docket Number	ELAN-01114US1
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METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																								
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†] <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Deposit Account Number: 06-1325 Deposit Account Name: Fliesler Dubb Meyer & Lovejoy 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Other	3. ADDITIONAL FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;">Large Entity Fee Code/Fee</th> <th style="width:15%;">Small Entity Fee Code/Fee</th> <th style="width:45%;">Fee Description</th> <th style="width:25%;">Fee Due</th> </tr> </thead> <tbody> <tr><td>1051/\$130</td><td>2051/\$65</td><td>Surcharge - late filing fee or oath</td><td><input type="text"/></td></tr> <tr><td>1052/\$50</td><td>2052/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td><input type="text"/></td></tr> <tr><td>1812/\$2,520</td><td>1812/\$2,520</td><td>For filing a request for reexamination</td><td><input type="text"/></td></tr> <tr><td>1251/\$110</td><td>2251/\$55</td><td>Extension for response within first month[†]</td><td><input type="text"/></td></tr> <tr><td>1252/\$420</td><td>2252/\$210</td><td>Extension for response within second month[†]</td><td><input type="text"/></td></tr> <tr><td>1253/\$950</td><td>2253/\$475</td><td>Extension for response within third month[†]</td><td><input type="text"/></td></tr> <tr><td>1254/\$1,480</td><td>2254/\$740</td><td>Extension for response within fourth month[†]</td><td><input type="text"/></td></tr> <tr><td>1255/\$1,970</td><td>2255/\$985</td><td>Extension for response within fifth month[†]</td><td><input type="text"/></td></tr> <tr><td>1401/\$320</td><td>2401/\$160</td><td>Notice of Appeal</td><td><input type="text"/></td></tr> <tr><td>1453/\$1,300</td><td>2453/\$650</td><td>Petition to revive unintentionally abandoned application</td><td><input type="text"/></td></tr> <tr><td>1501/\$1,330</td><td>2501/\$665</td><td>Utility Issue Fee (Or Reissue)</td><td><input type="text"/></td></tr> <tr><td>1502/\$470</td><td>2502/\$235</td><td>Design Issue Fee</td><td><input type="text"/></td></tr> <tr><td>1460/\$130</td><td>1460/\$130</td><td>Petitions to the Commissioner</td><td><input type="text"/></td></tr> <tr><td>1814/\$110</td><td>2814/\$55</td><td>Statutory Disclaimer</td><td><input type="text"/></td></tr> <tr><td>1806/\$180</td><td>1806/\$180</td><td>Submission of Information Disclosure Statement</td><td><input type="text"/></td></tr> <tr><td>8021/\$40</td><td>8021/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>1809/\$750</td><td>2809/\$375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td><input type="text"/></td></tr> <tr><td>1801/\$770</td><td>2801/\$385</td><td>Request for Continued Examination (RCE)</td><td><input type="text"/></td></tr> <tr><td colspan="3">Other fee (specify):</td><td><input type="text"/></td></tr> <tr><td colspan="3">Other fee (specify):</td><td><input type="text"/></td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td>(\$ 40)</td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	1051/\$130	2051/\$65	Surcharge - late filing fee or oath	<input type="text"/>	1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>	1812/\$2,520	1812/\$2,520	For filing a request for reexamination	<input type="text"/>	1251/\$110	2251/\$55	Extension for response within first month [†]	<input type="text"/>	1252/\$420	2252/\$210	Extension for response within second month [†]	<input type="text"/>	1253/\$950	2253/\$475	Extension for response within third month [†]	<input type="text"/>	1254/\$1,480	2254/\$740	Extension for response within fourth month [†]	<input type="text"/>	1255/\$1,970	2255/\$985	Extension for response within fifth month [†]	<input type="text"/>	1401/\$320	2401/\$160	Notice of Appeal	<input type="text"/>	1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	<input type="text"/>	1501/\$1,330	2501/\$665	Utility Issue Fee (Or Reissue)	<input type="text"/>	1502/\$470	2502/\$235	Design Issue Fee	<input type="text"/>	1460/\$130	1460/\$130	Petitions to the Commissioner	<input type="text"/>	1814/\$110	2814/\$55	Statutory Disclaimer	<input type="text"/>	1806/\$180	1806/\$180	Submission of Information Disclosure Statement	<input type="text"/>	8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	40	1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>	1801/\$770	2801/\$385	Request for Continued Examination (RCE)	<input type="text"/>	Other fee (specify):			<input type="text"/>	Other fee (specify):			<input type="text"/>	SUBTOTAL (3)			(\$ 40)
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1. FILING FEE		(Col. 1)			
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due		
1001/\$770	2001/\$385	Utility Filing	770		
1002/\$330	2002/\$165	Design Filing	<input type="text"/>		
1004/\$750	2004/\$375	Reissue	<input type="text"/>		
1005/\$160	2005/\$80	Provisional Filing	<input type="text"/>		
SUBTOTAL (1)		(\$ 770)			

2. CLAIMS		(Col. 2)			
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due		
1202/\$18	2202/\$9	Claims in excess of 20	<input type="text"/>		
1201/\$86	2201/\$43	Independent claims in excess of 3	<input type="text"/>		
1203/\$280	2203/\$140	Multiple dependent claim	<input type="text"/>		
1204/\$86	2204/\$43	Reissue independent claims over original patent	<input type="text"/>		
1205/\$18	2205/\$9	Reissue claims in excess of 20 and over original patent	<input type="text"/>		

(Col. 1)	(Col. 2)	(Col. 3)	Fee	Fee Due
For	No. of Existing Claims	Highest No. Previously Paid For	Extra**	
TOTAL	22	20 or	2	36
INDEP	6	3 or	3	258
[] First presentation of multiple dependent claim				0

SUBTOTAL (2) (\$ 294)

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Jeffrey R. Kurin	Reg. Number	41,132
Signature		Date	February 4, 2004

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3